PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PC

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NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

IMPORTANT NOTIFICATION

Date of mailing

(day/month/year)

04.05.2006

Applicant's or agent's file reference

Okabe International Patent Office

CHIYODA26WO

USUI, Shinichi

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Chivoda-ku, Tokyo 100-0005

International filing date (day/month/year)

Priority date (day/month/year)

18.12.2003

International application No. PCT/JP2004/018691

08.12.2004

Applicant

JAPON

CHIYODA CORPORATION et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:

<u>)</u>

European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 **Authorized Officer**

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference CHIYODA26WO | FOR FURTHER AC | TION | See Form PCT/IPEA/416 | | |
|--|--|--|---------------------------------------|------------------------------|--|
| International application No. PCT/JP2004/018691 | International filing date (d 08.12.2004 | day/month/year) | Priority date (day/month/y 18.12.2003 | rear) | |
| International Patent Classification (IPC) or national classification and IPC INV. B01J35/04 B01J23/46 B01J23/58 B01J23/63 C01B3/00 | | | | | |
| Applicant CHIYODA CORPORATION et al. | | | | | |
| This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. | | | | | |
| 2. This REPORT consists of a total of 5 sheets, including this cover sheet. | | | | | |
| 3. This report is also accompanied by ANNEXES, comprising: | | | | | |
| a. Sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: | | | | | |
| sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). | | | | | |
| sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. | | | | | |
| b. (sent to the International be sequence listing and/or tale Relating to Sequence List | bles related thereto, in ce | electronic form only, a | s indicated in the Supplen | , containing a nental Box | |
| This report contains indications re | elating to the following ite | ems: | | | |
| ☑ Box No. I Basis of the rep | oort | | | | |
| ☐ Box No. II Priority | | | | | |
| • | | rd to novelty, inventive step and industrial applicability | | | |
| ☐ Box No. IV Lack of unity of invention | | | | | |
| Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | |
| ☐ Box No. VI Certain docume | | | | | |
| ☐ Box No. VII Certain defects | ☐ Box No. VII Certain defects in the international application | | | | |
| Box No. VIII Certain observa | ☐ Box No. VIII Certain observations on the international application | | | | |
| Date of submission of the demand | | Date of completion of t | his report | | |
| 14.10.2005 | | 04.05.2006 | | | |
| Name and mailing address of the international | | Authorized officer | | Prings. | |
| preliminary examining authority: European Patent Office D-80298 Munich | | de Cauwer, R | | | |
| Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 | | Telephone No. +49 89 | 2399-7344 | 1 | |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/JP2004/018691

| | Box No | I Basis of the report | |
|------|---|--|--|
| 1. | With regard to the language , this report is based on the international application in the language in which it filed, unless otherwise indicated under this item. | | |
| | ☐ This | s report is based on translations from the original language into the following language , ch is the language of a translation furnished for the purposes of: | |
| | | nternational search (under Rules 12.3 and 23.1(b)) publication of the international application (under Rule 12.4) nternational preliminary examination (under Rules 55.2 and/or 55.3) | |
| 2. | have be | ard to the elements* of the international application, this report is based on <i>(replacement sheets which</i> en furnished to the receiving Office in response to an invitation under Article 14 are referred to in this is "originally filed" and are not annexed to this report): | |
| | Descript | ion, Pages | |
| | 1-35 | as originally filed | |
| | Claims, | Numbers | |
| | 18, 19 | as originally filed | |
| | 1-17 | received on 05.12.2005 with letter of 01.12.2005 | |
| | □ a se | equence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing | |
| 3. [| ☐ The | amendments have resulted in the cancellation of: | |
| | | he description, pages | |
| | | he claims, Nos. he drawings, sheets/figs | |
| | | he sequence listing (specify): | |
| | | any table(s) related to sequence listing (specify): | |
| 4. | had not | s report has been established as if (some of) the amendments annexed to this report and listed below been made, since they have been considered to go beyond the disclosure as filed, as indicated in the nental Box (Rule 70.2(c)). | |
| | | he description, pages he claims, Nos. | |
| | | he drawings, sheets/figs | |
| | | he sequence listing (specify): | |
| | | any table(s) related to sequence listing (specify): | |
| | | to a marked "superseded" | |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/JP2004/018691

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N) Yes: Claims 1-19

No: Claims

Inventive step (IS) Yes: Claims 1-19

No: Claims

Industrial applicability (IA) Yes: Claims 1-19

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

10/583152 JAP20 Rec'd PCT/PTO 16 JUN 2006

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/JP2004/018691

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- Reference is made to the following documents:
 - D1: WO 95/35152 A (ENGELHARD CORPORATION) 28 December 1995
 - D2: US-A-5 490 977 (WAN ET AL) 13 February 1996
 - D3: US-A-5 989 507 (SUNG ET AL) 23 November 1999
 - D4: US-A-5 898 014 (WE ET AL) 27 April 1999
 - DO: EP-A-0 885 650 (DEGUSSA-HUELS AKTIENGESELLSCHAFT; DEGUSSA AKTIENGESELLSCHAFT; UMICORE) 23 December 1998
 - D6: US 2003/125202 A1 (RUWISCH LUTZ MARC ET AL) 3 July 2003
 - D7: US-A-5 597 771 (HU ET AL) 28 January 1997
 - D8: US 2003/177763 A1 (TWIGG MARTYN VINCENT ET AL) 25 September 2003
 - D9: US-A-5 130 109 (WAN ET AL) 14 July 1992
 - EP-A-1 004 347 (DMC2 DEGUSSA METALS CATALYSTS CERDEC D10:
 - AG; DEGUSSA-HUELS AKTIENGESELLSCH) 31 May 2000
 - US-A-4 294 726 (BOZON ET AL) 13 October 1981 D11:
 - WO 01/36323 A (CONOCO INC) 25 May 2001 D12:
- The document D1 D11 are no longer regarded as being the closest prior art to 2. the subject-matter of claim 1 (and 16) since they do not disclose the specific molar ratios of second and third to first ingredient. Moreover, they are concerned with a different purpose for the catalyst, namely TWC.
- The document D12 is thus regarded as being the closest prior art to the 3. subject-matter of claim 1 (and 16) . It is directed at a catalyst for the production of synthesis gas.
 - The subject-matter of claim 1 (and 16) differs from the one in D12 in that the second ingredient of the catalyst composition is not present. Furthermore, the specific molar ratios of second and third to first ingredient are not disclosed either.
 - The subject-matter of claim 1 and 16 is therefore new (Article 33(2) PCT).
- The problem to be solved by the present invention may be regarded as the 3.

International application No.

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

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provision of an alternative catalyst for the manufacture of synthesis gas by direct catalytic partial oxidation.

The solution to this problem proposed in claim 1 (and 16) of the present application is considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

From the comparative examples it is clear that the catalysts of the present invention achieve better results (see table 1).

4. Claims 3-15 are dependent on claim 1 and as such also meet the requirements of the PCT with respect to novelty and inventive step.

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CLAIMS

1. (Amended) A catalyst for manufacturing synthesis gas containing carbon monoxide and hydrogen as principal ingredients from feedstock gas containing hydrocarbon having 1 to 5 carbon atoms in each molecule and oxygen, characterized in that

the catalyst for manufacturing synthesis gas has a carrier and a Group VIII metal carried by the carrier;

said carrier containing a first ingredient, a second ingredient and a third ingredient;

said first ingredient being an oxide of at least an alkaline earth metal selected from the group of magnesium, calcium, strontium and barium;

said second ingredient being an oxide of at least an element selected from the group of scandium, yttrium and lanthanoids;

said third ingredient being zirconia or a

20 substance containing zirconia as principal ingredient
and having a solid electrolytic property,

wherein the molar ratio of said second ingredient relative to said first ingredient is between 0.02 and 0.40 and the molar ratio of said third ingredient relative to said first ingredient is between 0.04 and 1.5.

(Canceled)







substance selected from ceramic foam and ceramic honeycomb.

- 10. The catalyst according to claim 9, wherein said porous body is made of ceramic foam and5 has a mesh structure of 10 to 40 cells per inch.
 - 11. The catalyst according to claim 9, wherein said porous body is made of ceramic honeycomb and has a structure of 100 to 400 cells per square inch.
- 12. The catalyst according to claim 1, wherein said Group VIII metal is at least a metal selected from the group of rhodium, platinum, palladium, ruthenium and iridium.
 - 13. The catalyst according to claim 12,
- 15 wherein

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said Group VIII metal is rhodium.

- 14. The catalyst according to claim 1, wherein said Group VIII metal is carried by the carrier at a rate of 100 to 50,000 weight ppm per unit weight of the carrier.
 - 15. The catalyst according to claim 1, wherein said Group VIII metal is carried by the carrier at a rate of 2 \times 10⁻⁷ to 5 \times 10⁻³ mol/m² per unit surface area of the carrier.
- 25 16. (Amended) A method of manufacturing synthesis gas containing carbon monoxide and hydrogen as principal ingredients by causing feedstock gas



containing hydrocarbon having 1 to 5 carbon atoms in each molecule and oxygen to contact a catalyst for manufacturing synthesis gas, characterized in that

said catalyst for manufacturing synthesis gas

5 has a carrier and a Group VIII metal carried by the
carrier:

said carrier containing a first ingredient, a
second ingredient and a third ingredient;

said first ingredient being an oxide of at

10 least an alkaline earth metal selected from the group

of magnesium, calcium, strontium and barium;

said second ingredient being an oxide of at least an element selected from the group of scandium, yttrium and lanthanoids;

said third ingredient being zirconia or a substance containing zirconia as principal ingredient and having a solid electrolytic property,

wherein the molar ratio of said second ingredient relative to said first ingredient is between 0.02 and 0.40 and the molar ratio of said third ingredient relative to said first ingredient is between 0.04 and 1.5.

- 17. (Canceled)
- 18. The method according to claim 16,
- 25 characterized in that,

when the molar number of carbon deriving from

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